Docket No.: SPEED-P001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Luu, et al.

Serial No.:

10/765,505

Group Art Unit: 2857

Filed:

1/26/2004

Examiner:

Not yet Assigned

Title:

Automated Sourcing of Substrate Microfabrication Defects Using Defect

Signatures

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicants wish to bring the following printed publications and U.S. patent references to the attention of the Examiner.

Such references are referred to in the Background of the Specification of the Patent Application submitted herewith. Forms PTO-SB-08A and PTO-SB-08B listing these references and patent references are attached. It is understood that the Office may find additionally relevant material in these or other references.

Respectfully submitted,

DENNIS S. FERNANDEZ ESQ.

Reg. No. 34,160

717104

Date

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The Commissioner for Patents United States Patent and Trademark Office Alexandria, VA 22313-1450

ATTN: Mail Stop Patent Application

Re: U.S. Utility Patent Application

Appl. No. 10/765,505; Filed <u>1/26/2004</u>

For: Automated Sourcing of Substrate Microfabrication Defects Using Defect

Signatures

Inventor(s): Luu, et al.
Docket No.: SPEED-P001

Sir:

The following documents are forwarded herewith for action by the U.S. Patent and Trademark Office:

- 1. Information Disclosure Statement;
- 2. Form PTO/SB/08A with 4 U.S. References;
- 3. Form PTO/SB/08B with 10 Non Patent Literature Documents; and
- 4. A return post card.

It is respectfully requested that the attached postcard be <u>stamped with the receipt date</u> of the above documents and returned to the addressee as soon as possible.

717104

Date

Respectfully submitted,

DENNIS S. FERNANDEZ,

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PTO/SB/08 (0 -03)

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Substitute for form 1449/PTO

Sheet 1

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/765,505		
Filing Date	1/26/2004		
First Named Inventor	Luu, et al.		
Art Unit	2857		
Examiner Name	Not Yet Assigned		
Attorney Docket Number	SPEED-P001		

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code ^{2 (f known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	1	^{US-} 5,982,920	11/9/1999	Tobin, Jr., et al	
	2	^{US-} 6,407,808	6/18/2002	Yoda, et al.	
	3	^{US-} 6,466,895	10/15/2002	Harvey, et al.	
	4	^{US-} 6,535,776	3/18/2003	Tobin, Jr., et al	
		US-			
•		US-			
		US-	-		
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵		
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Signature	·	Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

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Substitute for form 1449/PTO	Complete if Known		
Cassada of form 1446/110	Application Number	10/765,505	
INFORMATION DISCLOSURE	Filing Date	1/26/2004	
STATEMENT BY APPLICANT	First Named Inventor	Luu, et al.	
(Use as many sheets as necessary)	Art Unit	2857	
(Use as many sneets as necessary)	Examiner Name	Not Yet Assigned	
Sheet 2 of 2	Attorney Docket Number	SPEED-P001	

		ON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	TOBIN, et al., Feature Analysis and Classification of Manufacturing Signatures Based on Semiconductor Wafermaps 12 pages.	
	2	TOBIN, et al., Automatic Classification of Spatial Signatures on Semiconductor Wafermaps 11 pages.	
	3	KARNOWSKI, et al., Fuzzy Logic Connectivity in Semiconductor Defect Clustering 10 pages.	
	4	GLEASON, et al., An Integrated Spatial Signature Analysis and Automatic Defect Classification System 8 pages.	
	5	GLEASON, et al., Rapid Yield Learning though Optical Defect and Electrical Test Analysis 11 pages.	
1.50	6	TOBIN, et al., An Image Paradigm for Semiconductor Defect Data Reduction 12 pages.	
	7	TOBIN, et al., Automated Analysis for Rapid Defect Sourcing and Yeild Learning 15 pages.	
	8	TOBIN, et al., Using Historical Wafermap Data for Automated Yeild Analysis 16 pages.	
	9	TOBIN, et al., Adaptation of The Fuzzy K-Nearest Neighbor Classifier for Manufacturing Automation 9 pages.	
	10	TOBIN, et al., Image Retrieval in the Industrial Environment 9 pages.	

Examiner	Date		
Signature	Considered	 	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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